

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Credit Suisse Securities (USA) LLC Political Action Committee

ADDRESS (number and street) ▼

1201 F Street, NW Suite 450

☐ Check if different than previously reported. (ACC)

Washington

DC

20004

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00111559

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)

## 4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

- ☐ April 15  
Quarterly Report (Q1)
- ☐ July 15  
Quarterly Report (Q2)
- ☐ October 15  
Quarterly Report (Q3)
- ☐ January 31  
Year-End Report (YE)
- ☐ July 31 Mid-Year  
Report (Non-election  
Year Only) (MY)
- ☐ Termination Report  
(TER)

(b) Monthly  
Report  
Due On:

- ☐ Feb 20 (M2) ☐ May 20 (M5) ☐ Aug 20 (M8) ☐ Nov 20 (M11)  
(Non-Election Year Only)
- ☐ Mar 20 (M3) ☐ Jun 20 (M6) ☐ Sep 20 (M9) ☐ Dec 20 (M12)  
(Non-Election Year Only)
- ☒ Apr 20 (M4) ☐ Jul 20 (M7) ☐ Oct 20 (M10) ☐ Jan 31 (YE)

(c) 12-Day  
PRE-Election  
Report for the:

- ☐ Primary (12P) ☐ General (12G) ☐ Runoff (12R)
- ☐ Convention (12C) ☐ Special (12S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the  
State of(d) 30-Day  
POST-Election  
Report for the:

- ☐ General (30G) ☐ Runoff (30R) ☐ Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the  
State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y  
03 01 2014

through

M M M / D D D / Y Y Y Y Y Y  
03 31 2014

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Cecilia Guarin

Signature of Treasurer

Cecilia Guarin

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y  
04 10 2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office  
Use  
Only**FEC FORM 3X**  
Rev. 12/2004

# SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y  
03 / 01 / 2014 To: M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, <span style="border: 1px solid black; padding: 2px;">Y Y Y Y Y Y</span> <span style="border: 1px solid black; padding: 2px;">2014</span>		<span style="border: 1px solid black; padding: 2px;">149077.71</span>
(b) Cash on Hand at Beginning of Reporting Period.....	<span style="border: 1px solid black; padding: 2px;">93411.96</span>	
(c) Total Receipts (from Line 19) .....	<span style="border: 1px solid black; padding: 2px;">41864.49</span>	<span style="border: 1px solid black; padding: 2px;">90198.74</span>
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	<span style="border: 1px solid black; padding: 2px;">135276.45</span>	<span style="border: 1px solid black; padding: 2px;">239276.45</span>
7. Total Disbursements (from Line 31) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	<span style="border: 1px solid black; padding: 2px;">104000.00</span>
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d)) .....	<span style="border: 1px solid black; padding: 2px;">135276.45</span>	<span style="border: 1px solid black; padding: 2px;">135276.45</span>
9. Debts and Obligations Owed <b>TO</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	
10. Debts and Obligations Owed <b>BY</b> the Committee (Itemize all on Schedule C and/or Schedule D) .....	<span style="border: 1px solid black; padding: 2px;">0.00</span>	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

## For further information contact:

Federal Election Commission  
999 E Street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# DETAILED SUMMARY PAGE

## of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

 M M / D D / Y Y Y Y  
 03 / 01 / 2014

To:

 M M / D D / Y Y Y Y  
 03 / 31 / 2014
**I. Receipts**
**COLUMN A**  
**Total This Period**
**COLUMN B**  
**Calendar Year-to-Date**

## 11. Contributions (other than loans) From:

## (a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

29560.20

40277.34

(ii) Unitemized .....

12304.29

49921.40

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ▶

41864.49

90198.74

(b) Political Party Committees .....

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) .....

41864.49

90198.74

## 12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

## 13. All Loans Received .....

0.00

0.00

## 14. Loan Repayments Received.....

0.00

0.00

## 15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

## 16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

## 17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

## 18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3) .....

0.00

0.00

(b) Levin Funds (from Schedule H5) .....

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),  
12, 13, 14, 15, 16, 17, and 18(c))..... ▶

41864.49

90198.74

## 20. Total Federal Receipts

(subtract Line 18(c) from Line 19) .....

41864.49

90198.74

**DETAILED SUMMARY PAGE**

of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share .....	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures .....	0.00	0.00
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b)) .....	0.00	0.00
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	0.00	104000.00
24. Independent Expenditures (use Schedule E) .....	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees .....	0.00	0.00
(b) Political Party Committees .....	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements .....	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share .....	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds .....	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	0.00	104000.00
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	0.00	104000.00

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3) .....	41864.49	90198.74
34. Total Contribution Refunds (from Line 28(d)) .....	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33) .....	41864.49	90198.74
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ..... ►	0.00	0.00
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ..... ►	0.00	0.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael G Clark**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1333.32

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 28 / 2014

**Transaction ID : 12357365**

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

**B. Christopher Joneleit**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 28 / 2014

**Transaction ID : 12357366**

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

**C. Dana Klein**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 28 / 2014

**Transaction ID : 12357367**

Amount of Each Receipt this Period

500.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

2000.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 7 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph Seidell**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

5000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 28 / 2014

**Transaction ID : 12357368**

Amount of Each Receipt this Period

5000.00

Full Name (Last, First, Middle Initial)

**B. Ernesto Cruz**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 28 / 2014

**Transaction ID : 12357369**

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

**C. Timothy J Bixler**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : 12357370**

Amount of Each Receipt this Period

1000.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

7000.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 8 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sarah M Martin**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y  
03 / 31 / 2014

**Transaction ID : 12357371**

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

**B. Neil Radey**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y  
03 / 31 / 2014

**Transaction ID : 12357372**

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

**C. Jennifer Katz**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
03 / 31 / 2014

**Transaction ID : 12357373**

Amount of Each Receipt this Period

250.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

2250.00



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Dennis McManmon**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 / 31 / 2014

**Transaction ID : 12357374**

Amount of Each Receipt this Period

250.00

Full Name (Last, First, Middle Initial)

**B. Brian P Cohane**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 / 31 / 2014

**Transaction ID : 12357375**

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

**C. Timothy D Bock**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

2749.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 / 31 / 2014

**Transaction ID : 12357376**

Amount of Each Receipt this Period

2500.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

3750.00

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. George C Whipple III**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

524.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 / 31 / 2014

**Transaction ID : 12357377**

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

**B. Donatina Trotter**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

500.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 / 31 / 2014

**Transaction ID : 12357378**

Amount of Each Receipt this Period

500.00

Full Name (Last, First, Middle Initial)

**C. Stuart F Smith**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 / 31 / 2014

**Transaction ID : 12357380**

Amount of Each Receipt this Period

1000.00

**SUBTOTAL** of Receipts This Page (optional)..... ►

2000.00

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 OF 43

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Joseph F Huber**

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : 12357381**

Amount of Each Receipt this Period

1000.00

Full Name (Last, First, Middle Initial)

**B. David A Cantor**

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR11324598083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. George W Coleman**

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary

☐ General

☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.02

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR11324658083**

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

1166.66

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. John S Harrison**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 31 2014

**Transaction ID : PR11324868083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Joseph F Huber**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 31 2014

**Transaction ID : PR11324898083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Gerald M Lodge**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 31 2014

**Transaction ID : PR11324998083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

499.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Carlos Onis**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR11325208083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. John C Robertshaw**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR11325438083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**c. James D Garrity**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR11325458083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

374.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 14 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Lewis H Wirshba**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11325468083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Steven H Garnett**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.02

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11325518083**

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Michael Paliotta**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11325658083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

374.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 15 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Paul J O'Keefe**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11325678083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. John E Cogan**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

352.92

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11325758083**

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Philip S Vasan**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11326088083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

409.28

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 16 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Thomas E Fusco**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11326308083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Edmund F Taylor**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1249.98

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11326368083**

Amount of Each Receipt this Period

416.66

P/R Deduction (\$208.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Gautam S Gujral**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11326498083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

708.30



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 17 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Constance V Sailer**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11326538083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Brent A Patry**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11326678083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Jodi DeVito**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11327238083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Skip S Seeder**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11327258083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

## **B. Todd M. Orlich**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11327628083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

## **C. Douglas A Simons**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11328588083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

374.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 19 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. George F. Kasten**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11329358083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Russell J Burns**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

250.02

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11329438083**

Amount of Each Receipt this Period

83.34

P/R Deduction (\$41.67 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Thomas Prevost**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR11329668083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.98

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. William L. Raincsuk**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR11329758083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Andrew B Federbusch**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR11329998083**

Amount of Each Receipt this Period

100.00

P/R Deduction (\$50.00 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. James L Amine**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR12364278083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

391.64

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Nicole S Arnaboldi**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR12364288083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Robert L Basso**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR12364348083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Stephen R Dunne**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR12364818083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

499.96

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Stephen E. Gray**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR12365088083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Louise Guarneri**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR12365188083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Stephen H Haratunian**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR12365228083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

374.96

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 OF 43

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. Robert Jain

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
03 / 31 / 2014

Transaction ID : PR12365328083

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Bruce Klein

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
03 / 31 / 2014

Transaction ID : PR12365448083

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Stephen McMorran

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M M / D D D / Y Y Y Y Y Y  
03 / 31 / 2014

Transaction ID : PR12365778083

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

499.96

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

## **A. Stefano Natella**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 / 31 / 2014

**Transaction ID : PR12365908083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

## **B. Robert N. Pomroy**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 / 31 / 2014

**Transaction ID : PR12366028083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

## **C. Joseph E Reece**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 / 31 / 2014

**Transaction ID : PR12366068083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

624.96



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 25 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Stuart F Smith**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR12366368083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Anthony Blasi**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR12691228083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Dean Wilson Ervin**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR12691398083**

Amount of Each Receipt this Period

333.32

P/R Deduction (\$166.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

499.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 OF 43

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Stephen D Simberg**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR12692678083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Timothy D Bock**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR12692778083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Victoria A Longo**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR17871578083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Peter O Skoglund**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR17884668083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Richard Blond**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR22263758083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**c. Michele D Cubic**

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

230.82

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR22263818083**

Amount of Each Receipt this Period

76.94

P/R Deduction (\$38.47 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

243.58

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 OF 43

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

Full Name (Last, First, Middle Initial)

A. William F Hughes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

Transaction ID : PR22263948083

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

B. Daniel L Eggers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

Transaction ID : PR22264418083

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

C. Timothy J Scanlon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary  
☐ Other (specify) ▼

General

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

Transaction ID : PR33028688083

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

SUBTOTAL of Receipts This Page (optional)..... ►

249.96

TOTAL This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 29 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Sean T Brady**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.78

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR33061378083**

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Robert E Durham**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.78

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR33061468083**

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Peter J Feeney**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

315.78

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR33061478083**

Amount of Each Receipt this Period

105.26

P/R Deduction (\$52.63 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

315.78

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 30 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Balaji Gopalakrishnan**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

352.92

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR33583878083**

Amount of Each Receipt this Period

117.64

P/R Deduction (\$58.82 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Robert B Perlman**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR33584048083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**c. Alan R. Reifenberg**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR33584058083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

284.28

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Nathan P Romano**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 31 2014

**Transaction ID : PR33584098083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Ben Oren**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 31 2014

**Transaction ID : PR38478738083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Edin Buturovic**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 31 2014

**Transaction ID : PR39315868083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 32 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Andrew L Hubbard**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR39316178083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Marc A Mansourian**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR39316288083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Michael F. Marco**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR39316298083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.96



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 33 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christopher H Mulshine**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR39316378083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Jerry L Smith**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR39316528083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. James B. Walker**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR39316658083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 34 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Richard S Zinman**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR39316698083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Gardy A Berthoumieux**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR43824158083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Anthony A Dertouzos**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR43824268083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 35 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Kenneth D. Hoffman**

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR43824398083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Jeffrey D Jennings**

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR43824428083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**c. Guy C. Kearsley**

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer

Credit Suisse Securities (USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR43824478083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

374.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 36 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Daniel C Mathisson**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR43824628083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Philip J Moineau**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR43824668083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Bernard W. Moody**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR43824678083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 37 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Peter Norley**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR43824728083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Lara J. Warner**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR43824908083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Eric A. Federman**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR43824958083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

374.96

**TOTAL** This Period (last page this line number only)..... ►

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 38 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Robin M Rankin**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR43825078083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Robert H Huntington**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR56510768083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. David Coulam**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR56510798083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 39 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Scott W Soules**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR67443908083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Paul E Germain**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.98

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR67968128083**

Amount of Each Receipt this Period

166.66

P/R Deduction (\$83.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Nelson M Louie**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR67968248083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

458.30

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 40 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christopher S Scaring**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR67969388083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Christopher L Young**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR68091178083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Mark S Simonian**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

03 / 31 / 2014

**Transaction ID : PR68091198083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.96



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 41 OF 43

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Jay Kim**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

624.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR71193548083**

Amount of Each Receipt this Period

208.32

P/R Deduction (\$104.16 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Peter James McGough**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR71312838083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Ronald David Parrish**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR71430528083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

374.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 42 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Christopher W Gaertner**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR75086468083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Neil H Sherman**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR75086518083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Darryl J. Burke**

Mailing Address 11 Madison Ave

City  
New York

State Zip Code  
NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities (USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
03 / 31 / 2014

**Transaction ID : PR75328918083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

249.96

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 43 OF 43  
(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

**Credit Suisse Securities (USA) LLC Political Action Committee**

Full Name (Last, First, Middle Initial)

**A. Michael G Clark**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1499.98

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 / 31 / 2014

**Transaction ID : PR75732688083**

Amount of Each Receipt this Period

166.66

P/R Deduction (\$83.33 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**B. Thomas C Davidov**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 / 31 / 2014

**Transaction ID : PR75732698083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

Full Name (Last, First, Middle Initial)

**C. Lawrence M Young**

Mailing Address 11 Madison Ave

City State Zip Code  
 New York NY 10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
 Credit Suisse Securities (USA) LLC

Occupation  
 Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

249.96

Date of Receipt

M M / D D / Y Y Y Y Y Y  
 03 / 31 / 2014

**Transaction ID : PR75732798083**

Amount of Each Receipt this Period

83.32

P/R Deduction (\$41.66 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional)..... ►

**TOTAL** This Period (last page this line number only)..... ►

333.30

29560.20